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U.S. Department of Commerce, Patent and Trademark Office	Atty Docket No.	Serial No.
	M-12004 US	Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant(s)	
(Use several sheets if necessary)	MacKinnon, Neil; Woodley, Bruce R.	
	Filing Date	Group
	Herewith 9/16/11	Unknown

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<i>Qm</i>	AA	3,867,012	2/18/75	Phillips	385 350	10 96	
	AB	4,384,750	5/24/83	Alferness <i>Hager</i>	312 350	296 96	
	AC	4,384,760	5/24/83	Alferness	385 350	11 96.14	
	AD	4,390,236	6/28/83	Alferness	385 350	9 96.14	
	AE	4,445,751	5/1/84	Divens et al	385 350	43 96.14	
	AF	4,533,207	8/6/85	Alferness	385 350	40 96.14	
	AG	4,667,331	5/19/87	Alferness et al	372	12	
	AH	4,695,121	9/22/87	Mahapatra et al	372 350	40 96.14	
	AI	4,728,307 168	3/1/88	Alferness et al	385 350	40 96.14	
	AJ	4,948,407	8/14/90	Bindell et al	65 64	386 314	
	AK	5,150,447	9/22/92	Tamada et al	385	130	
	AL	5,185,831	2/9/93	Kawashima	385	41	
	AM	5,311,540	5/10/94	Pocholle et al	372	97	
<i>Qm</i>	AN	5,319,494	6/7/94	Miyaguchi et al	359	487	
	AO	5,327,447	7/5/94	Mooradian	372	92	

Foreign Patent Documents

	Document	Date	Country	Class	Subclass	Yes	No
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>Qm</i>	AQ	"Efficient Waveguide Electro-Optic TE↔TM Mode converter/Wavelength Filter", Alferness et al., <i>Applied Physics Letters</i> , vol. 36, No. 7, April 1980, pp. 513-515.
<i>Qm</i>	AR	"Switching Operations of Three-Waveguide Optical Switches", Kim et al., <i>IEEE Journal of Selected Topics in Quantum Electronics</i> , vol. 6, No. 1, Jan./Feb. 2000, pp. 170-174.
<i>Qm</i>	AS	"Three-Waveguide Couplers for Improved Sampling and Filtering", Haus et al., <i>IEEE Journal of Quantum Electronics</i> , vol. QE-17, No. 12, Dec. 1981, pp. 2321-2325.

Examiner <i>Qm</i>	Date Considered 12/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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